

10/10/01
JCS84 US 512-05-01
A

Utility Patent Application Transmittal

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number	ST 1212
First Named Inventor	Peter J. Oefner et al.
Title	A Method for Determining Genetic Affiliation, Substructure and Gene Flow Within Human Populations
Express Mail Label No.	EL 923 479 108 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification
(preferred arrangement set forth below) Total Pages

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure

134

4. Drawing(s) (35 U.S.C. 113) Total Sheets

4

5. Oath or Declaration Total Pages

4

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))

i. **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. Application Data Sheet, See 37 CFR 1.76

ADDRESS TO:
 Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-Rom or CD-R (2 copies); or
 - ii. Paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- 9. Assignment Papers (cover sheet & documents(s))
- 10. 37 CFR 3.73(b) Statement
(when there is an assignee) Power of Attorney
- 11. English Translation Document (if applicable)
- 12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
- 13. Preliminary Amendment
- 14. Return Receipt Postcard (MPEP 503)
(should be specifically itemized)
- 15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent
- 17. Other: -----

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No: -----

Prior Application Information: Examiner ----- Group Art Unit: -----

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

Fee Calculation**CLAIMS AS FILED**

For	#Filed	# Allowed	#Extra	Rate	Fee
Total Claims	27	20	7	x 9	\$63.00
Indep. Claims	7	3	4	x 42	\$168.00
Multiple Dependent Claims (check if applicable)					
				TOTAL CLAIM FEES	\$231.00
				BASIC FILING FEE	\$370.00
OTHER FEE (specify purpose)				TOTAL	\$601.00

The Commissioner is authorized to charge any fees which may be required, or credit any overpayment to Deposit Account 50-0815. If additional fees are required, including extensions of time, please consider this a petition therefore. A duplicate copy of this sheet is enclosed.

Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

19. Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

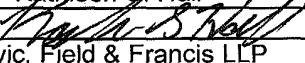
19. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label

(Insert Customer No. or Bar code label here)
24353
PATENT & TRADEMARK OFFICE

or

Correspondence Address below

Name (Print/Type)	Kathleen S. Hall	Registration No.	44,143	
Signature				
Firm Name	Bozicevic, Field & Francis LLP	Address	200 Middlefield Road, Suite 200	
City	Menlo Park	State	California	zip 94025
Telephone - Direct Dial	(650) 833-7773	Fax	650-327-3231	